



## Chapter 1

Instructor: email

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#### What Is a DBMS?



- \* A very large, integrated collection of data.
- \* Models real-world *enterprise*.
  - Entities (e.g., students, courses)
  - Relationships (e.g., Madonna is taking CS564)
- A <u>Database Management System (DBMS)</u> is a software package designed to store and manage databases.

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## Why Use a DBMS?



- Data independence and efficient access.
- \* Reduced application development time.
- \* Data integrity and security.
- Uniform data administration.
- \* Concurrent access, recovery from crashes.

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# Why Study Databases??



- ❖ Shift from *computation* to *information* 
  - at the "low end": scramble to webspace (a mess!)
  - at the "high end": scientific applications
- \* Datasets increasing in diversity and volume.
  - Digital libraries, interactive video, Human Genome project, EOS project
  - ... need for DBMS exploding
- \* DBMS encompasses most of CS
  - OS, languages, theory, "A"I, multimedia, logic

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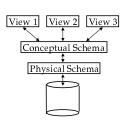
#### Data Models

- A <u>data model</u> is a collection of concepts for describing data.
- A <u>schema</u> is a description of a particular collection of data, using the a given data model.
- The <u>relational model of data</u> is the most widely used model today.
  - Main concept: <u>relation</u>, basically a table with rows and columns.
  - Every relation has a *schema*, which describes the columns, or fields.

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## Levels of Abstraction

- Many <u>views</u>, single <u>conceptual (logical) schema</u> and <u>physical schema</u>.
  - Views describe how users see the data.
  - Conceptual schema defines logical structure
  - Physical schema describes the files and indexes used.



Schemas are defined using DDL; data is modified/queried using DML.

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#### Example: University Database

- \* Conceptual schema:
  - Students(sid: string, name: string, login: string, age: integer, gpa:real)
  - Courses(cid: string, cname:string, credits:integer)
  - Enrolled(sid:string, cid:string, grade:string)
- ❖ Physical schema:
  - Relations stored as unordered files.
  - Index on first column of Students.
- \* External Schema (View):
  - Course\_info(cid:string,enrollment:integer)

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#### Data Independence

- Applications insulated from how data is structured and stored.
- <u>Logical data independence</u>: Protection from changes in *logical* structure of data.
- <u>Physical data independence</u>: Protection from changes in *physical* structure of data.

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#### Concurrency Control

- Concurrent execution of user programs is essential for good DBMS performance.
  - Because disk accesses are frequent, and relatively slow, it is important to keep the cpu humming by working on several user programs concurrently.
- Interleaving actions of different user programs can lead to inconsistency: e.g., check is cleared while account balance is being computed.
- DBMS ensures such problems don't arise: users can pretend they are using a single-user system.

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#### Transaction: An Execution of a DB Program

- Key concept is <u>transaction</u>, which is an atomic sequence of database actions (reads/writes).
- Each transaction, executed completely, must leave the DB in a <u>consistent state</u> if DB is consistent when the transaction begins.
  - Users can specify some simple *integrity constraints* on the data, and the DBMS will enforce these constraints.
  - Beyond this, the DBMS does not really understand the semantics of the data. (e.g., it does not understand how the interest on a bank account is computed).
  - Thus, ensuring that a transaction (run alone) preserves consistency is ultimately the user's responsibility!

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#### Scheduling Concurrent Transactions

- DBMS ensures that execution of {T1, ..., Tn} is equivalent to some <u>serial</u> execution T1' ... Tn'.
  - Before reading/writing an object, a transaction requests a lock on the object, and waits till the DBMS gives it the lock. All locks are released at the end of the transaction. (<u>Strict 2PL</u> locking protocol.)
  - Idea: If an action of Ti (say, writing X) affects Tj (which perhaps reads X), one of them, say Ti, will obtain the lock on X first and Tj is forced to wait until Ti completes; this effectively orders the transactions.
  - What if Tj already has a lock on Y and Ti later requests a lock on Y? (<u>Deadlock!</u>) Ti or Tj is <u>aborted</u> and restarted!

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#### Ensuring Atomicity

- DBMS ensures atomicity (all-or-nothing property) even if system crashes in the middle of a Xact.
- ♦ Idea: Keep a <u>log</u> (history) of all actions carried out by the DBMS while executing a set of Xacts:
  - Before a change is made to the database, the corresponding log entry is forced to a safe location. (WAL protocol; OS support for this is often inadequate.)
  - After a crash, the effects of partially executed transactions are <u>undone</u> using the log. (Thanks to WAL, if log entry wasn't saved before the crash, corresponding change was not applied to database!)

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# The Log



- The following actions are recorded in the log:
  - Ti writes an object: the old value and the new value.
    - Log record must go to disk before the changed page!
  - Ti commits/aborts: a log record indicating this action.
- Log records chained together by Xact id, so it's easy to undo a specific Xact (e.g., to resolve a deadlock).
- Log is often duplexed and archived on "stable" storage.
- All log related activities (and in fact, all CC related activities such as lock/unlock, dealing with deadlocks etc.) are handled transparently by the DBMS.

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Databases make these folks happy ...

- \* End users and DBMS vendors
- DB application programmers
  - E.g. smart webmasters
- ❖ <u>Database administrator (DBA)</u>
  - Designs logical / physical schemas
  - Handles security and authorization
  - Data availability, crash recovery
  - Database tuning as needs evolve

Must understand how a DBMS works!

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# Structure of a DBMS

These layers must consider concurrency control and

- A typical DBMS has a layered architecture.
- The figure does not show the concurrency control and recovery components.
- This is one of several possible architectures; each system has its own variations.

recovery Query Optimization and Execution Relational Operators Files and Access Methods **Buffer Management** Disk Space Management

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#### Summary

- \* DBMS used to maintain, query large datasets.
- \* Benefits include recovery from system crashes, concurrent access, quick application development, data integrity and security.
- Levels of abstraction give data independence.
- \* A DBMS typically has a layered architecture.
- \* DBAs hold responsible jobs and are well-paid!
- \* DBMS R&D is one of the broadest most exciting areas in CS.

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